# Program Extraction from Nested Definitions

Kenji Miyamoto<sup>1,\*</sup>, Fredrik Nordvall Forsberg<sup>2,\*,\*\*</sup> and Helmut Schwichtenberg<sup>1</sup>

 $^{1}$  Ludwig-Maximilians-Universität München, Germany  $^{2}$  Swansea University, UK

**Abstract.** Minlog is a proof assistant which automatically extracts computational content in an extension of Gödel's T from formalized proofs. We report on extending Minlog to deal with predicates defined using a particular combination of induction and coinduction, via so-called nested definitions. In order to increase the efficiency of the extracted programs, we have also implemented a feature to translate terms into Haskell programs. To illustrate our theory and implementation, a formalisation of a theory of uniformly continuous functions due to Berger is presented.

### 1 Introduction

Program extraction is a method for obtaining certified algorithms by extracting the computational content hidden in proofs. To get successful algorithms, the formalization of the proof is not a superficial issue but rather an essential one. The Theory of Computable Functionals [24], TCF in short, has been developed in order to provide a concrete framework for program extraction. TCF is implemented straightforwardly in the Minlog [18] proof assistant. As available in TCF, Minlog supports inductive and coinductive definitions and program extraction from classical proofs as well as from constructive ones. The internal term language of Minlog can be exported to general-purpose programming languages.

This paper reports on new contributions to TCF and Minlog, focusing on two aspects. One is a certain combination of inductive and coinductive definitions, called *nested definitions* [6]. We make use of such definitions in a case study on exact real arithmetic. The other is a feature to translate Minlog algebras and terms into Haskell programs. This makes efficient execution of the extracted programs possible. Translation to a lazy language such as Haskell is especially beneficial when computing with infinite objects, such as in our case study.

We first describe TCF, with an emphasis on nested definitions. Then an application of TCF and program extraction from nested definitions to exact real arithmetic is presented: a translation of the usual type-1 representation of uniformly continuous functions into a type-0 representation. We also extract a program which computes the definite integral of such functions. These case studies are available in the Minlog distribution [18].

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## 2 Formal System

We study higher type functionals as well as functions and ground type objects. Functionals in TCF are not necessarily total but partial in general. Based on the understanding that evaluation must be finite, we assume two principles for our notion of computability: the finite support principle and the monotonicity principle. During the evaluation of some functional  $\Phi$ , only finitely many inputs  $\varphi_0, \ldots, \varphi_{n-1}$  are used. Moreover, each of  $\varphi_i$  must be presented to  $\Phi$  in a finite form. This is the finite support principle. Assume  $\Phi(\varphi_0)$  evaluates to a value k and let  $\varphi_1$  be more informative than  $\varphi_0$ . Then  $\Phi(\varphi_1)$  results in k as well. This is the monotonicity principle.

The notion of abstract computability is formulated as follows: an object is computable when its set of finite approximations is primitive recursively enumerable. In this section, we begin by making the notion of such computable objects, called partial continuous functionals, concrete. Then we proceed to our term calculus, its Haskell translation, and inductive/coinductive predicates.

### 2.1 Algebras and their total and cototal ideals

The formal term language of TCF is an extension of Gödel's T, which is appropriate for higher type computation involving functionals. Types are built from base types by the formation of function types. The base types themselves are formed by free algebras given by their constructors. For instance the list algebra  $\mathbf{L}_{\alpha}$ , where  $\alpha$  is a type parameter, is defined by the two constructors empty list  $\begin{bmatrix} \mathbf{L}_{\alpha} \end{bmatrix}$  and the "cons" operator  $::^{\alpha \to \mathbf{L}_{\alpha} \to \mathbf{L}_{\alpha}}$ . Formally, a constructor type is a type expression of the form  $\tau_0 \to \ldots \to \tau_{n-1} \to \xi_i$ , where each  $\tau_i$  is a type expression where all  $\vec{\xi}$  appear strictly positively (i.e. not to the left of an arrow). For any finite list of constructor types  $\vec{\kappa}$ , we (simultaneously) define algebras  $\mu_{\vec{\xi}}(\vec{\kappa})$ , provided there is at least one constructor type such that  $\vec{\xi}$  does not occur in  $\vec{\tau}$  (this ensures that all algebras are inhabited). For example, the algebra of natural numbers **N** is defined by  $\mathbf{N} = \mu_{\xi}(\xi, \xi \to \xi)$ . We also adopt the notation  $\mathbf{L}_{\alpha} = \mu_{\xi}([]^{\xi}, ::^{\alpha \to \xi \to \xi})$  in order to specify constructor names. Another example is the algebra of branching trees. We simultaneously define  $(\mathbf{Ts}, \mathbf{T})$  by  $\mu_{\xi,\zeta}(\mathsf{Empty}^\xi,\mathsf{Tcons}^{\zeta\to\xi\to\xi},\mathsf{Leaf}^\zeta,\mathsf{Branch}^{\xi\to\zeta})$ . Using the list algebra, we can define another algebra of branching trees without the simultaneity by defining  $\mathbf{NT} = \mu_{\xi}(\mathsf{Lf}^{\xi}, \mathsf{Br}^{\mathbf{L}_{\xi} \to \xi})$ . This is an example of a nested algebra [6]. Support for such algebras has recently been added to Minlog.

The intended semantics of the term language is based on Scott's information systems [25] (see also Schwichtenberg and Wainer [24]). Algebras are interpreted as sets of *ideals*, i.e. consistent and deductively closed sets of tokens, which are type correct constructor trees possibly involving the special symbol \*, meaning "no information". Consider a constructor tree P(\*) with a distinguished occurrence of \*. An arbitrary  $P(C\vec{*})$ , where C is a constructor, is called a *one-step predecessor* of P(\*), written  $P(C\vec{*}) \succ_1 P(*)$ . Here  $P(C\vec{*})$  is obtained by substituting  $C\vec{*}$  for the distinguished \* in P(\*). Among ideals, we are especially interested in

total and cototal ideals. A *cototal ideal* x is an ideal whose every constructor tree  $P(*) \in x$  has a one-step predecessor  $P(C\vec{*}) \in x$ . A *total ideal* is a cototal ideal such that the relation  $\succ_1$  is well founded. For instance, the cototal ideal  $\{*::*, 0::*, *::::*, 0::*::*, *:::*, 0::1::*, *::*::*::*, ...\}$  denotes the non-well founded list of natural numbers  $[0, 1, \ldots]$ .

A binary tree with a (possibly) infinite height is informally defined by a term  $t := \mathsf{Br}(t::t::[])$  whose denotation is an  $\mathbf{NT}$ -cototal  $\mathbf{L_{NT}}$ -total ideal (see also Section 2.5). Total ideals of  $(\mathbf{Ts}, \mathbf{T})$  are isomorphic (as information systems) to pairs of  $\mathbf{L_{NT}}$ -total  $\mathbf{NT}$ -total ideals and  $\mathbf{NT}$ -total ideals.

### 2.2 Corecursion

An arbitrary term in Gödel's T is terminating and hence denotes a total ideal. Constructors are used to construct total ideals whereas recursion operators are used to inspect a total ideal from its leaves to the root. In order to accommodate cototal as well as total ideals, we add to Gödel's T two more kinds of constants, namely destructors and corecursion operators, which this section describes. Destructors, the dual of constructors, are used to inspect the structure of cototal ideals, while corecursion operators give a way to construct cototal ideals.

As an example, we consider the algebra **NT** of nested trees. Define the disjoint sum of  $\alpha$  and  $\beta$  by  $\alpha + \beta = \mu_{\xi}(\mathsf{inl}^{\alpha \to \xi}, \mathsf{inr}^{\beta \to \xi})$ , and the unit type  $\mathbf{U} = \mu_{\xi}(\mathsf{u}^{\xi})$ . The destructor  $\mathcal{D}_{\mathbf{NT}}$  has the following type and conversion relation:

$$\mathcal{D}_{\mathbf{NT}}: \mathbf{NT} \to \mathbf{U} + \mathbf{L}_{\mathbf{NT}}$$

$$\mathcal{D}_{\mathbf{NT}} \mathsf{Lf} \mapsto \mathsf{inl} \ \mathsf{u}, \qquad \mathcal{D}_{\mathbf{NT}} \left( \mathsf{Br} \ \mathit{as} \right) \mapsto \mathsf{inr} \ \mathit{as}. \tag{1}$$

Corecursion operators give a way to construct cototal ideals. The corecursion operator  ${}^{co}\mathcal{R}_{\mathbf{NT}}^{\tau}$  has the following type and conversion relation:

$$^{\mathrm{co}}\mathcal{R}_{\mathbf{NT}}^{\tau}:\tau\to(\tau\to\mathbf{U}+\mathbf{L}_{\mathbf{NT}+\tau})\to\mathbf{NT}$$

$$^{\mathrm{co}}\mathcal{R}_{\mathbf{NT}}^{\tau}\,N\,M\mapsto\mathrm{case}\,MN\,\,\mathrm{of}$$

$$\mathrm{inl}\,\,\mathrm{u}\to\mathrm{Lf}$$

$$\mathrm{inr}\,\,q\!\!s\to\mathrm{Br}\,\,(\mathcal{M}_{\lambda_{\alpha}\mathbf{L}_{\alpha}}^{\mathbf{NT}+\tau\to\mathbf{NT}}\,\,q\!\!s\,\,[\mathrm{id},\lambda_{x}(^{\mathrm{co}}\mathcal{R}xM)]).$$

where  $[f, g]^{\rho + \sigma \to \tau}$  is defined for  $f^{\rho \to \tau}$  and  $g^{\sigma \to \tau}$  by

$$[f,g](\operatorname{inl} x^{\rho}) \mapsto fx, \qquad [f,g](\operatorname{inr} y^{\sigma}) \mapsto gy,$$

and the map constant  $\mathcal{M}_{\lambda_{\alpha}\mathbf{L}_{\alpha}}^{\sigma\to\rho}$  witnesses the functoriality of  $\mathbf{L}_{\alpha}$ . It has the following type and conversion relation:

$$\mathcal{M}: \mathbf{L}_{\sigma} \to (\sigma \to \rho) \to \mathbf{L}_{\rho}$$

$$\mathcal{M} \parallel f \mapsto \parallel, \qquad \mathcal{M} (x :: xs) f \mapsto fx :: \mathcal{M} xs f.$$

In the conversion rule for  ${}^{co}\mathcal{R}_{\mathbf{NT}}^{\tau}$ , the first argument of the corecursion operator is passed to the second functional argument. The result of this application

determines what the construction of the cototal ideal is. In the case of nested algebras, the value algebra of corecursion operators occurs inside of other algebras as a parameter. Map operators play a crucial role in reaching the value algebra so that the corecursion operator can do its work.

### 2.3 Realizability

We now address the issue of extracting computational content from proofs. The method of program extraction is based on modified realizability as introduced by Kreisel [16] and described in detail in Schwichtenberg and Wainer [24]. In short, from every constructive proof M of a non-Harrop formula A (in natural deduction) one extracts a program  $\operatorname{et}(M)$  "realizing" A, essentially by removing computationally irrelevant parts from the proof (proofs of Harrop formulas have no computational content). The extracted program has some simple type  $\tau(A)$  which depends solely on the logical shape of the proven formula A. In its original form the extraction process is fairly straightforward, but often leads to unnecessarily complex programs. In order to obtain better programs, proof assistants (for instance Coq [9], Isabelle/HOL [13], Agda [1], Nuprl [21], Minlog [18]) offer various optimizations of program extraction. Below we describe optimizations implemented in Minlog [22], which are relevant for our present case study.

Quantifiers without computational content Besides the usual quantifiers,  $\forall$  and  $\exists$ , Minlog has so-called non-computational quantifiers,  $\forall$ <sup>nc</sup> and  $\exists$ <sup>nc</sup>, which allow for the extraction of simpler programs. These quantifiers, which were first introduced by Berger [2], can be viewed as a refinement of the Set/Prop distinction in constructive type systems like Coq. Intuitively, a proof of  $\forall_x^{\rm nc} A(x)$  (A(x) non-Harrop) represents a procedure that assigns to any x a proof M(x) of A(x)where M(x) does not make "computational use" of x, i.e., the extracted program  $\operatorname{et}(M(x))$  does not depend on x. Dually, a proof of  $\exists_x^{\operatorname{nc}} A(x)$  is a proof of M(x) for some x where the witness x is "hidden", that is, not available for computational use; in fact,  $\exists^{\text{nc}}$  can be seen as inductively defined by the clause  $\forall_x^{\text{nc}}(A \to \exists_x^{\text{nc}}A)$ . The types of extracted programs for non-computational quantifiers are  $\tau(\forall_{\tau\rho}^{\rm nc} A) =$  $\tau(\exists_{x^{\rho}}^{\mathrm{nc}}A) = \tau(A)$  as opposed to  $\tau(\forall_{x^{\rho}}A) = \rho \to \tau(A)$  and  $\tau(\exists_{x^{\rho}}A) = \rho \times \tau(A)$ . The extraction rules are, for example in the case of  $\forall^{\mathrm{nc}}$ -introduction and -elimination,  $\operatorname{et}((\lambda_x M^{A(x)})^{\forall_x^{\operatorname{nc}} A(x)}) = \operatorname{et}(M)$  and  $\operatorname{et}((M^{\forall_x^{\operatorname{nc}} A(x)} t)^{A(t)}) = \operatorname{et}(M)$  as opposed to  $\operatorname{et}((\lambda_x M^{A(x)})^{\forall_x A(x)}) = \operatorname{et}(\lambda_x M)$  and  $\operatorname{et}((M^{\forall_x A(x)}t)^{A(t)}) = \operatorname{et}(Mt)$ . For the extracted programs to be correct the variable condition for  $\forall^{\text{nc}}$ -introduction must be strengthened by additionally requiring that the abstracted variable x does not occur in the extracted program et(M), and similarly for  $\exists^{nc}$ . Note that for a Harrop formula A the formulas  $\forall_x^{\text{nc}} A, \forall_x A$  are equivalent.

### 2.4 Translation to a general-purpose programming language

The programs extracted from proofs in Minlog are once again represented as terms in the internal term language. This has the advantage that a general soundness

theorem can be stated (and automatically proven) in the system. However, for efficiency and interoperability reasons, it is sometimes beneficial to translate the extracted terms into programs in a general-purpose programming language. We now describe our new translation from Minlog terms into Haskell programs (there is also limited support for translating into Scheme). Coq [17], Isabelle/HOL [5] and Agda [26] provide similar features.

Terms of Gödel's T – lambda abstraction, application, variables etc – are translated to corresponding Haskell terms. For recursion and corecursion operators, polymorphic functions are generated. For example, the translation of the corecursion operator  ${}^{\text{co}}\mathcal{R}_{\mathbf{NT}}^{\tau}$  above is implemented as

Here Haskell's lazy evaluation means that we do not need to worry about guarding the recursive call. The occurrence of the map operator  $\mathcal{M}_{\lambda_{\alpha}L_{\alpha}}^{\mathbf{NT}+\tau\to\mathbf{NT}}$  gets translated to the fmap function from the Functor type class. In this case, the list algebra from Minlog gets translated to the list data type in Haskell, which already has a Functor instance. For custom data types, the instance is derived automatically by GHC using the DeriveFunctor flag.

Lists, integers, rational numbers, sum types, product types and the unit type are translated to their standard implementation in the Haskell prelude. For efficiency reasons, natural numbers are translated to integers. Other algebras are translated into algebraic datatypes.

Program constants and their computation rules are translated to functions defined by pattern matching. Here some care must be taken for e.g. natural numbers, since they are translated to integers, for which no pattern matching is available. Instead *guard conditions* are used, as in the translation of the following parity function for natural numbers:

```
parity :: Integer {-Nat-} -> Bool
parity 0 = True
parity 1 = False
parity n | n > 1 = parity (n - 2)
```

The realizer for ex-falso-quodlibet ff  $\to A$  makes use of a canonical inhabitant inhab<sub> $\tau(A)$ </sub> of type  $\tau(A)$ . This is justified since all types are inhabitated in the intended semantics, but not so in Haskell. Hence we define a type class

```
class Inhabited a where
  inhab :: a
```

and ensure we generate instances and track inhabitatedness constraints in the types of the generated functions.

#### 2.5 Inductive and coinductive definitions

We are particularly interested in dealing with a combination of induction and coinduction in TCF. Starting from simultaneous inductive definitions, we describe nested inductive definitions and furthermore nested inductive/coinductive definitions. See e.g. Jacobs and Rutten [14] for a gentle introduction to coinduction.

As an example, consider the simultaneously defined algebras  $(\mathbf{Ts}, \mathbf{T}) = \mu_{\xi,\zeta}(\mathsf{Empty}^\xi,\mathsf{Tcons}^{\zeta\to\xi\to\xi},\mathsf{Leaf}^\zeta,\mathsf{Branch}^{\xi\to\zeta})$  of finitely branching trees again. The totality predicates  $(T_{\mathbf{Ts}},T_{\mathbf{T}})$  of  $(\mathbf{Ts},\mathbf{T})$ , of arity  $(\mathbf{Ts})$  and  $(\mathbf{T})$  respectively, are simultaneously inductively defined by the following four clauses:

$$\begin{split} T_{\mathbf{Ts}} & \; \mathsf{Empty}, & & \; \forall^{\mathrm{nc}}_{a,as}(T_{\mathbf{T}} \; a \to T_{\mathbf{Ts}} \; as \to T_{\mathbf{Ts}} \; (\mathsf{Tcons} \; a \; as)), \\ T_{\mathbf{T}} & \; \mathsf{Leaf}, & & \; \forall^{\mathrm{nc}}_{as}(T_{\mathbf{Ts}} \; as \to T_{\mathbf{T}} \; (\mathsf{Branch} \; as)). \end{split}$$

From the above, a nested definition of branching trees is derived by removing the simultaneity. This leads to the definition of the algebras  $\mathbf{L}_{\alpha} = \mu_{\xi}([]^{\xi}, ::^{\alpha \to \xi \to \xi})$  and  $\mathbf{NT} = \mu_{\xi}(\mathsf{Lf}^{\xi}, \mathsf{Br}^{\mathbf{L}_{\xi} \to \xi})$ . To define the totality predicate for  $\mathbf{NT}$ , we first define the relativised totality predicate  $RT_X$  for lists, with arity  $(\mathbf{L}_{\alpha})$  for X of arity  $(\alpha)$ . Relativised totality means the totality relative to the parameter predicate X. It is given by the following clauses:

$$RT_X \mid \mid$$
,  $\forall_{x \mid xs}^{\text{nc}}(Xx \to RT_X \mid xs \to RT_X \mid (x::xs)).$ 

We can now define the totality predicate of nested trees using the relativised totality predicate  $RT_X$  of lists, with X instantiated to  $T_{NT}$ :

$$T_{\mathbf{NT}}$$
 Lf,  $\forall_{as}(RT_{T_{\mathbf{NT}}} \ as \rightarrow T_{\mathbf{NT}} \ (\mathsf{Br} \ as)).$ 

We call a predicate definition *nested* if the predicate to be defined occurs strictly positively as a parameter of an already defined predicate in a clause formula. Witnesses of nested predicates have nested algebras as their types.

Coinductive predicates arise as "duals" of inductive ones. For example, for the totality predicate  $T_{\mathbf{NT}}$  we can define its companion predicate  $^{\mathrm{co}}T_{\mathbf{NT}}$  by the single clause

$$\forall_a^{\rm nc}({}^{\rm co}T_{\mathbf{NT}}a \to a = \mathsf{Lf} \vee \exists_{as}^{\rm nc}(RT_{{}^{\rm co}T_{\mathbf{NT}}}as \wedge a = \mathsf{Br}as)). \tag{2}$$

We call such a companion predicate definition derived from an inductive one a coinductive definition. A witness for a proposition  ${}^{co}T_{\mathbf{NT}}$  a is an **NT**-cototal  $\mathbf{L_{NT}}$ -total ideal, which is a finitely branching tree of (possibly) infinite height. The computational content of (2) is the destructor  $\mathcal{D}_{\mathbf{NT}}$ . We still need to express that  $RT_X$  is the least predicate satisfying the clauses, and that  ${}^{co}T_{\mathbf{NT}}$  is the greatest predicate satisfying the clause. The former is done by means of the least-fixed-point axiom

$$\forall_{xs}^{\text{nc}}(RT_X \ xs \to P \ ] \to$$

$$\forall_{x,xs}^{\text{nc}}(Xx \to RT_X \ xs \to P \ xs \to P \ x::xs) \to$$

$$P \ xs).$$

$$(3)$$

The latter is done by means of the greatest-fixed-point axiom.

$$\forall_a^{\rm nc}(Q\ a \to \forall_a^{\rm nc}(Q\ a \to a = \mathsf{Lf} \lor \exists_{as}^{\rm nc}(RT_{{}^{\rm co}}{}_{T_{\mathbf{NT}}\lor Q}\ as \land a = \mathsf{Br}\ as)) \to \\ {}^{\rm co}T_{\mathbf{NT}}\ a). \tag{4}$$

The predicates P and Q are called competitor predicates which satisfy the same clause(s) as  $RT_X$  and  $T_{NT}$ , respectively. From (3) and (4), we see that P is a superset of  $RT_X$  and Q is a subset of  ${}^{co}T_{NT}$ .

The term extracted from (3) is Gödel's (structural) recursion operator  $\mathcal{R}_{\mathbf{L}_{\alpha}}$ , and the term extracted from (4) is the corecursion operator  ${}^{co}\mathcal{R}_{\mathbf{NT}}$  defined in Section 2.2.

## 3 Case study: uniformly continuous functions

To illustrate program extraction in TCF, we formalize the theory of uniformly continuous functions from constructive analysis [7,23]. Our first case study provides an alternative view of uniformly continuous functions of type-1 as a cototal object of type-0; i.e. of ground type. We then extract a program which computes the definite integral of a uniformly continuous function of type-0. This was first studied by Berger [3] in the setting of program extraction. We now offer machine extraction from formalized proofs of these results in Minlog. Before continuing, we review representations of real numbers of type-1 and type-0. In this section, we only consider real numbers and uniformly continuous functions in the interval [-1,1] in order to work with stream represented real numbers [8] and uniformly continuous functions on them.

A real number of type-1 is a Cauchy real with a modulus, namely a pair  $\langle x, M \rangle$ , where x is a bounded function of type  $\mathbf{N} \to \mathbf{Q}$  and  $M : \mathbf{N} \to \mathbf{N}$  satisfies the following Cauchy condition:

$$\forall_{k} \mathbf{N} \forall_{n,m > Mk} (|x \, n - x \, m| \le 2^{-k}).$$

Define the type of signed digits by  $\mathbf{SD} = \mu_{\xi}(-1^{\xi}, 0^{\xi}, 1^{\xi})$ . A real number of type-0 is a signed digit stream  $d_0::d_1::\ldots$ , where  $d_i$  is of type  $\mathbf{SD}$ . Informally, the stream  $d_0::d_1:\ldots$  denotes the real number  $\sum_{i=0} \frac{d_i}{2^{i+1}}$ . We represent such an object by a cototal ideal of  $\mathbf{L_{SD}}$ , which is a possibly infinite list of signed digits. An arbitrary real number can be represented by a type-0 object, for example by a stream of integers in  $\{-9, -8, \ldots, 8, 9\}$  with a decimal point [27,28].

#### 3.1 Data types of uniformly continuous functions

Consider a triple  $\langle h, \alpha, \omega \rangle$ , where  $h : \mathbf{Q} \to \mathbf{N} \to \mathbf{Q}$  is a bounded function and  $\alpha$  and  $\omega$  are of type  $\mathbf{N} \to \mathbf{N}$ . Suppose that it satisfies

$$\begin{split} &\forall_{a\mathbf{Q},k^{\mathbf{N}},n\geq\alpha(k),m\geq\alpha(k)}(|h\,a\,n-h\,a\,m|\leq 2^{-k}),\\ &\forall_{a\mathbf{Q},b\mathbf{Q},k^{\mathbf{N}},n\geq\alpha(k)}(|a-b|\leq 2^{-\omega(k)+1}\rightarrow|h\,a\,n-h\,b\,n|\leq 2^{-k}). \end{split}$$

The first formula states the Cauchyness of  $\langle h \, a, \alpha \rangle$ . Classically this can be stated by the formula  $\forall_{a,k} \exists_l \forall_{n,m \geq l} (|h \, a \, n - h \, a \, m| \leq 2^{-k})$ , while constructively the way to determine l has to be given, for instance by a Cauchy modulus  $\alpha$ . The second formula states the uniform continuity of  $\langle h, \alpha \rangle$ , once again with explicit modulus of uniform continuity  $\omega$  for constructive reasons. Finally, we assume that h is bounded between -1 to 1. We adopt objects of this kind as our uniformly continuous functions of type-1, namely of first order function type. Application of a type-1 uniformly continuous function  $\langle h, \alpha, \omega \rangle$  to a Cauchy real  $\langle x, M \rangle$  is defined to be

$$\langle \lambda_n(h(xn)n), \lambda_k \max(\alpha(k+2), M(\omega(k+1)-1)) \rangle$$
.

For our type-0 representation of uniformly continuous functions we adopt socalled read-write machines [3] or stream processors [11,12]. These are  $\mathbf{W}$ -cototal  $\mathbf{R}_{\mathbf{W}}$ -total ideals where

$$\begin{split} \mathbf{R}_{\alpha} &\coloneqq \mu_{\xi}(\mathsf{Put}^{\mathbf{SD} \to \alpha \to \xi}, \mathsf{Get}^{\xi \to \xi \to \xi \to \xi}), \\ \mathbf{W} &\coloneqq \mu_{\xi}(\mathsf{Stop}^{\xi}, \mathsf{Cont}^{\mathbf{R}_{\xi} \to \xi}). \end{split}$$

A read-write machine is a potentially non-well founded tree with internal Put nodes and branching at Get nodes. It intuitively represents a function from signed digit streams to signed digit streams as follows: Start at the root of the tree. If we are at the node (Put d t), output the digit d and carry on with the tree t. If we are at the node (Get  $t_{-1}$   $t_0$   $t_1$ ), read a digit d from the input stream and continue with the tree  $t_d$ . If we reach a Stop node, we return the rest of the input unprocessed as output. Because a read-write machine is a W-cototal  $\mathbf{R}_{\mathbf{W}}$ -total ideal, the output might be infinite, but  $\mathbf{R}_{\mathbf{W}}$ -totality ensures that the machine can only read finitely many input digits before producing another output digit; the machine represents a continuous function.

#### 3.2 Formalization

We work with the abstract theory of uniformly continuous functions. Suppose that  $\varphi$  is a type variable representing abstract uniformly continuous functions. Due to the use of non-computational connectives, any object of type  $\varphi$  appearing in the proofs will disappear when a program is extracted. This theory is axiomatized in Appendix A. Note that all axioms are non-computational.

Let f range over the type variable  $\varphi$ , and also p,q range over  $\mathbf{Q}$  and k,l range over  $\mathbf{N}$ . We define a comprehension term  $\mathbf{C}$  (for "continuous") of abstract uniformly continuous functions as follows.

$$C := \{f | \forall_k \exists_l B_{l,k} f\}, \text{ where } B_{l,k} := \{f | \forall_n \exists_a (f [I_{n,l}] \subseteq I_{a,k})\}.$$

Here,  $I_{q,k}$  represents the interval  $[q-2^{-k},q+2^{-k}]$  of length  $2^{1-k}$  centered at q, while  $f[I_{p,l}]$  represents the image of  $I_{p,l}$  under f; the exact behavior is axiomatised in Appendix A. We write I for the interval  $I_{0,0} = [-1,1]$ . Witnesses

for  $B_{l,k}f$  and Cf are total ideals of type (an isomorphic copy of)  $\mathbf{Q} \to \mathbf{Q}$  and  $\mathbf{N} \to \mathbf{N} \times (\mathbf{Q} \to \mathbf{Q})$ , respectively. The latter represents  $\langle h, \alpha, \omega \rangle$  by a term  $\lambda_n \langle \omega n, \lambda_a h a (\alpha n) \rangle$ . Let  $(\operatorname{Out}_d \circ f)(x) = 2f(x) - d$ ,  $(f \circ \operatorname{In}_d)(x) = f(\frac{x+d}{2})$  and  $I_d = [\frac{d-1}{2}, \frac{d+1}{2}]$  for each  $d \in \{-1, 0, 1\}$ . We call  $I_d$  a basic interval. We inductively define a predicate  $\operatorname{Read}_X$  of arity  $(\varphi)$  by the following clauses

$$\forall_f^{\mathrm{nc}} \forall_d (f[I] \subseteq I_d \to X(\mathrm{Out}_d \circ f) \to \mathrm{Read}_X f), \qquad (\mathrm{Read}_X)_0^+ \\ \forall_f^{\mathrm{nc}} (\mathrm{Read}_X (f \circ \mathrm{In}_{-1}) \to \mathrm{Read}_X (f \circ \mathrm{In}_0) \to \mathrm{Read}_X (f \circ \mathrm{In}_1) \to \\ \mathrm{Read}_X f). \qquad (\mathrm{Read}_X)_1^+ \end{aligned}$$

The least-fixed-point axiom  $(Read_X)^-$  is defined to be

$$\forall_f^{\mathrm{nc}}(\mathrm{Read}_X f \to \forall_f^{\mathrm{nc}} \forall_d (f[I] \subseteq I_d \to X(\mathrm{Out}_d \circ f) \to Pf) \to$$

$$\forall_f^{\mathrm{nc}}(\mathrm{Read}_X (f \circ \mathrm{In}_{-1}) \to P(f \circ \mathrm{In}_{-1}) \to$$

$$\mathrm{Read}_X (f \circ \mathrm{In}_0) \to P(f \circ \mathrm{In}_0) \to$$

$$\mathrm{Read}_X (f \circ \mathrm{In}_1) \to P(f \circ \mathrm{In}_1) \to Pf) \to$$

$$Pf).$$
(Read<sub>X</sub>)

Furthermore, we give a nested inductive definition of a predicate Write of abstract uniformly continuous functions by the following clauses

$$Write(Id), \forall_f^{nc}(Read_{Write}f \to Writef),$$

where Id is the identity function. Witnesses for  $\operatorname{Read}_X f$  and Write f are total ideals of  $\mathbf{R}_{\alpha}$  and  $\mathbf{W}$ , respectively. We define <sup>co</sup>Write, a companion predicate of Write, by the following clause

$$\forall_f^{\text{nc}}(^{\text{co}}\text{Write}f \to f = \text{Id} \vee \text{Read}_{^{\text{co}}\text{Write}}f).$$
 (coWrite)

The greatest-fixed-point axiom (coWrite)+ of coWrite is

$$\forall_f^{\mathrm{nc}}(Q\,f\to\forall_f^{\mathrm{nc}}(Q\,f\to f=\mathrm{Id}\vee\mathrm{Read_{^{\mathrm{co}}Write}}\vee_Q f)\to{^{\mathrm{co}}Write}\,f).\quad \ (^{\mathrm{co}}\mathrm{Write})^+$$

A witness for  $^{\text{co}}$ Write f is a **W**-cototal  $\mathbf{R}_{\mathbf{W}}$ -total ideal. Intuitively,  $^{\text{co}}$ Write f says that f is productive as a function on signed digit representations. If we can use axiom (Read $^{\text{co}}$ Write) $_{0}^{+}$ , we know that the image of f is contained in an interval of radius  $\frac{1}{2}$  centered at the digit d, so that the first output digit must be d independently of the input. By using the function  $\text{Out}_{d} \circ f$ , we remove the leading digit and shift the input sequence one digit to the left. We continue to prove that f is productive on the rest of the input sequence. If the image of f is not contained in a basic interval, we can split the interval in three subintervals and check that f is productive on all of them by using axiom (Read $^{\text{co}}$ Write) $^{+}_{1}$ . This corresponds to reading another input digit. Since Read $_{X}$  is inductively defined, we can only use (Read $^{\text{co}}$ Write) $^{+}_{1}$  finitely many times before we are forced to use (Read $^{\text{co}}$ Write) $^{+}_{0}$  and another output digit is determined.

In our Minlog formalization,  $\varphi$  is given as a type variable, and  $\operatorname{In}_d$ ,  $\operatorname{Out}_d$  and  $\operatorname{Id}$  are defined as constants without computational meaning with value type  $\varphi$ . This is not a problem, since all such constants will disappear in the program extraction process due to careful use of non-computational connectives.

### 3.3 Informal proofs

We present informal proofs from which programs on uniformly continuous functions are extracted. Formalized proofs can be found in the Minlog distribution in the file examples/analysis/readwrite.scm.

For the first case study, Axiom 1 in Appendix A is used.

Theorem 1 (Type-1 u.c.f. into type-0 u.c.f.).

$$\forall_f^{\rm nc}(\mathbf{C}f \to {}^{\rm co}\mathbf{Write}f).$$

*Proof.* Let f be given and assume Cf. We prove <sup>co</sup>Write f by the greatest fixed point axiom <sup>co</sup>Write f with f or the competitor. It suffices to prove f in f in f assume f or f in f or some f or

The above proof considerably depends on the following lemma, which in turn depends on the next ones.

**Lemma 2.**  $\forall_l \forall_f^{\text{nc}}(B_{l,2}f \to Cf \to \text{Read}_{\text{coWrite} \lor C}f)$ .

*Proof.* By induction on l. Base: l = 0. Let f be given, and assume  $B_{0,2}f$  and Cf. Applying Lemma 3, there is a d such that  $f[I] \subseteq I_d$ . By Lemma 4, Cf implies  $C(\text{Out}_d \circ f)$ , hence  $(^{\text{co}}\text{Write} \vee C)(\text{Out}_d \circ f)$ . Now use the introduction axiom  $(\text{Read}_{^{\text{co}}\text{Write} \vee C})_0^+$ . Step:  $l \mapsto l + 1$ . Suppose the following induction hypothesis

$$\forall_f^{\text{nc}}(\mathbf{B}_{l,2}f \to \mathbf{C}f \to \text{Read}_{^{\text{co}}\text{Write}\vee\mathbf{C}}f),$$
 (5)

and prove  $\forall_f^{\text{nc}}(B_{l+1,2}f \to Cf \to \text{Read}_{{}^{\text{co}}\text{Write}\vee C}f)$ . Assume  $B_{l+1,2}f$  and Cf for a given f. Our goal is  $\text{Read}_{{}^{\text{co}}\text{Write}\vee C}f$ . By Lemma 4, we have  $B_{l,2}(f \circ \text{In}_d)$  and  $C(f \circ \text{In}_d)$  for each d. The induction hypothesis (5) yields  $\text{Read}_{{}^{\text{co}}\text{Write}\vee C}(f \circ \text{In}_d)$  for each d, hence we can apply the introduction axiom  $(\text{Read}_{{}^{\text{co}}\text{Write}\vee C})_1^+$  to finish the proof.

**Lemma 3.** 
$$\forall_f^{\text{nc}}(B_{0,2}f \to \exists_d(f[I] \subseteq I_d)).$$

*Proof.* Assume f and  $B_{0,2}f$ . From the definition of  $B_{l,k}$ ,  $f[I_{0,0}] \subseteq I_{q,2}$  for some q holds. Because q is a rational number, either  $q \le -\frac{1}{4}$ ,  $-\frac{1}{4} \le q \le \frac{1}{4}$  or  $\frac{1}{4} \le q$ . Recall that our uniformly continuous function is bounded in [-1,1]. It is possible to determine either of  $I_{q,2} \subseteq I_{-1}$ ,  $I_{q,2} \subseteq I_0$  or  $I_{q,2} \subseteq I_1$ , hence  $\exists_d (f[I] \subseteq I_d)$ .  $\square$ 

We now turn to calculating the definite integral of uniformly continuous functions to an arbitrary precision. In order to stay in the interval [-1,1], we compute the definite integral from -1 to 1 divided by two. We abbreviate  $\frac{1}{2} \int_{-1}^{1} f \, df$  by  $\int^{H} f$  (H for "half"). The properties we need of the integral and the real numbers are axiomatised in Axiom 2 and Axiom 3 in Appendix A.

Theorem 5 (Definite integral from -1 to 1).

$$\forall_f^{\text{nc}}(^{\text{co}}\text{Write}f \to \forall_n \exists_p (\int^{\mathbf{H}} f \in I_{p,n})).$$

Proof. Let f be given and assume  ${}^{\text{co}}\text{Write}f$ . We finish the proof by induction on n. Case n=0. Choose p to be 0; then  $\int^{\text{H}} f \in I_{0,0}$  by the axiom. Case  $n\mapsto n+1$ . We prove  $\exists_p(\int^{\text{H}} f \in I_{p,n+1})$ ). By  $({}^{\text{co}}\text{Write}f)^-$ , we can do case distinction on  $f=\text{Id}\vee\text{Read}_{{}^{\text{co}}\text{Write}}f$ . Left case. Suppose f=Id. Let p be 0, then our goal is  $\int^{\text{H}} \text{Id} \in I_{0,n+1}$ , which is clear by the axioms. Right case. Suppose  $\text{Read}_{{}^{\text{co}}\text{Write}}f$  and use  $(\text{Read}_{{}^{\text{co}}\text{Write}})^-$ . Side base case. Let f and f be given and assume  $f[I]\subseteq I_d$  and f converted f which implies f for f in f

#### 3.4 Extraction

From a proof, Minlog extracts a term in an extension of Gödel's T. In the next stage, these, together with relevant algebras and program constants, can be translated into a Haskell program using the term-to-haskell-program function of Minlog. We present the Haskell programs obtained from our formalized proofs. For aesthetic reasons, we present slightly formatted versions of the programs as suggested by e.g. HLint [19].

The algebras involved gets translated to the following Haskell data types:

We see how AlgB is just an isomorphic copy of Rational -> Rational, and how AlgRead has a type parameter a which gets instantiated to AlgWrite in the constructor Cont.

The extracted program from Lemma 3 is

```
cLemmaThree :: AlgB -> Sd
cLemmaThree (CInitB g) =
  if (numerator ((g 0) + (1/4)) > 0) then
   if (numerator ((g 0) - (1/4)) > 0) then R else M
  else L
```

This program computes a signed digit d such that the image of f – an abstract function which does not appear in the extracted term – is contained in  $I_d$ . It takes a rational function  ${\bf g}$  which realizes  ${\bf B}_{l,2} f$  as input; hence the image is contained in an interval of length  $\frac{1}{2}$ , centered at  ${\bf g}$  0. The calculation of the output is reduced to a simple decision of rational inequalities.

The extracted program from Lemma 2 is

The extracted term cLemmaTwo takes as input a natural number n, a rational function w and a function  $h: N \to N \times AlgB$  (in our application, we only call cLemmaTwo with  $\langle n, w \rangle = h2$ ). Using recursion over n, it computes an approximation of h by a complete tree of height n with  $3^n$  leaves – a  $R_{W+(N\to N\times AlgB)}$ -total ideal. At the leaves, a signed digit d – computed from w using cLemmaThree – and the remainder of the approximation of h – computed by cLemmaFour\_ii below, using d – is stored. At internal branching nodes, we split the domain of h into three subdomains – left, middle and right – modify w and h accordingly (using cLemmaFour\_iii and cLemmaFour\_iv below), and recurse.

The above term involves terms extracted from Lemma 4. They work in the following ways.

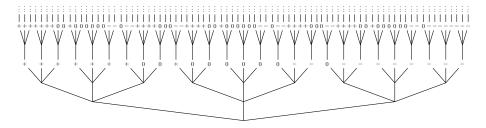
This program corecursively constructs a W-cototal  $R_W$ -total ideal by stacking  $R_W$ -total ideals computed by cLemmaTwo. It uses the modulus of continuity n at precision 2 to calculate an approximation of n as an n-total ideal, as in Lemma 2. In fact, n is the number of input signed digits to be read to determine one output signed digit. The extracted term from Theorem 5 is

This program reads the given type-0 function to accumulate the possible output digits to compute the definite integral. The second argument is a number  $\bf n$  to specify the bound of the computation in such a way that the program processes the read-write machine from its root up to the  $\bf nth$   $\bf R_W$ -total ideals. At a branch, the recursively computed integral on the middle interval, i.e.  $\bf a2$ , is ignored because it suffices to see value on the left and the right subintervals, i.e. [-1,0] and [0,1]. At a leaf, the output digit is counted to contribute to the output with its height in the tree.

### 3.5 Experiment

As a first example, we instantiate the theorems to the function  $f(x) \coloneqq -x$ . From a type-1 representation of f, we compute a type-0 representation by means of our extracted program. We define f by  $\langle h, \alpha, \omega \rangle$  where  $h \, a \, n \coloneqq -a, \, \alpha \, n \coloneqq 0$  and  $\omega \, n \coloneqq n+1$ . The input of type1to0 is  $\lambda_n \langle \omega n, \lambda_a (ha(\alpha n)) \rangle$  which turns into the Haskell expression fIn =  $\ n \to (n+1, CInitB \ (\ x \to -x))$ . The

output type1to0 fIn is graphically presented in Figure 1, where Cont is omitted, Get is a branching node and Put d is denoted by -, 0 or + respectively for d = -1, 0 or 1.



**Fig. 1.** Type-0 representation of f(x) = -x.

In our next example, we compute half of the definite integral for the function  $f(x) \coloneqq \sqrt{x+2} - 1$ . This integrand is defined to be  $\langle h, \alpha, \omega \rangle$ , where  $h \, a \, n \coloneqq (\mathcal{R}_{\mathbf{N}} \, n \, 1 \, \lambda_{-,b} \, (\frac{b+\frac{a+2}{b}}{2})) - 1$ ,  $\alpha \, n \coloneqq n+1$ , and  $\omega \, n \coloneqq \operatorname{Pred} n$  where  $\operatorname{Pred} : \mathbf{N} \to \mathbf{N}$  is the predecessor function. Converting this to the Haskell function it represents, we end up with  $\ n \to (\operatorname{Main.pred} n, \operatorname{CInitB} (\ x \to h \ x \ (n+1)))$ . We give two arguments to integration, a type-0 function and a natural number, the accuracy. The first input to integration is computed by type1to0 from the above type-1 function. Specifying 8 as the second argument, the output is 1633 % 4096 whose decimal expansion is  $0.398681640625\cdots$ . Comparing our result with the manually calculated definite integral  $\frac{1}{2} \int_{-1}^{1} f(x) dx = \sqrt{3} - \frac{4}{3}$ , the error is  $0.00003583\cdots$ , which indeed is smaller than  $2^{-8} = 0.00390625$ .

### 4 Conclusion

We presented the formal theory TCF and its implementation Minlog which support nested inductive/coinductive definitions. Minlog extracts programs in an extension of Gödel's T from proofs involving nested definitions. Moreover, terms in the extension of Gödel's T can be translated into programs in programming languages such as Haskell. We gave an application to the theory of uniformly continuous functions as an illustration.

Related work Nested definitions are used by Ghani, Hancock and Pattinson [11,12] to define uniformly continuous functions. They are also studied by Bird and Meertens [6] from a purely programming perspective. Krebbers and Spitters [15] give effective certified programs for exact real number computation. Berger and Seisenberger [4] considers "pen and paper" program extraction for a system with induction and coinduction. Berger [3] studies program extraction and its application to exact real arithmetic. He manually extracts programs from proofs

dealing with uniformly continuous functions. Our case study is heavily based on his results. More case studies based on Berger's work, e.g. function application and composition, are available in the Minlog distribution. Also other researchers have studied the combination of induction and coinduction. Nakata and Uustalu [20] study the semantics of interactive programs by means of induction nested into coinduction, and give a formalization in Coq. Danielsson and Altenkirch [10] study so-called mixed induction and coinduction, using Agda.

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## A Axioms

### Axiom 1 (Abstract Theory of Uniformly Continuous Functions)

$$\forall_{f,d,p,l,q,k}(f[I] \subseteq I_d \to \text{Out}_d \circ f[I_{p,l}] \subseteq I_{q,k} \to f[I_{p,l}] \subseteq I_{\frac{q+d}{2},k+1}), \qquad \text{(OutElim)}$$

$$\forall_{f,d,p,l,q,k}(f[I] \subseteq I_d \to f[I_{p,l}] \subseteq I_{\frac{q+d}{2},k+1} \to \text{Out}_d \circ f[I_{p,l}] \subseteq I_{q,k}), \qquad \text{(OutIntro)}$$

$$\forall_{f,d,p,l,q,k} (f \circ \operatorname{In}_d[I_{p,l}] \subseteq I_{q,k} \to f[I_{\frac{p+d}{2},l+1}] \subseteq I_{q,k}),$$
(InElim)

$$\forall_{f,d,p,l,q,k} (f[I_{\frac{p+d}{2},l+1}] \subseteq I_{q,k} \to f \circ \operatorname{In}_{d}[I_{p,l}] \subseteq I_{q,k}),$$
 (InIntro)

$$\forall_{f,p}(f[I_{p,0}] \subseteq I),$$
 (UcfBound)

$$\forall_{p,l}(\mathrm{Id}[I_{p,l}] \subseteq I_{p,l}),\tag{UcfId}$$

$$\forall_{f,p,l,q,k} (f[I_{p,l}] \subseteq I_{q,k} \to f[I_{p,l+1}] \subseteq I_{q,k}), \tag{UcfInputSucc}$$

$$\forall_{f,q} (q \le -\frac{1}{4} \to f[I] \subseteq I_{q,2} \to f[I] \subseteq I_{-1}), \tag{UcfLeft}$$

$$\forall_{f,q}(-\frac{1}{4} \le q \le \frac{1}{4} \to f[I] \subseteq I_{q,2} \to f[I] \subseteq I_0), \tag{UcfMiddle}$$

$$\forall_{f,q}(\frac{1}{4} \le q \to f[I] \subseteq I_{q,2} \to f[I] \subseteq I_1). \tag{UcfRight}$$

### Axiom 2 (Abstract Theory of Real Numbers)

$$\forall_n (0 \in I_{0,n}),$$
 (RealZero)

$$\forall_{x,p,n,d} (x \in I_{p,n} \to \frac{x+d}{2} \in I_{\frac{p+d}{2},n+1}), \tag{AvIntro}$$

$$\forall_{x,y,p,q,n} (x \in I_{p,n} \to y \in I_{q,n} \to \frac{x+y}{2} \in I_{\frac{p+q}{2},n}).$$
 (RealAvrg)

### Axiom 3 (Abstract Theory of Integration)

$$\forall_{f,d} ( \int^{\mathbf{H}} f = \frac{1}{2} ( \int^{\mathbf{H}} (\mathrm{Out}_d \circ f) + d ) ), \tag{HIntOut}$$

$$\forall_f (\int^{\mathbf{H}} f = \frac{1}{2} (\int^{\mathbf{H}} (f \circ \operatorname{In}_{-1}) + \int^{\mathbf{H}} (f \circ \operatorname{In}_{1}))), \tag{HIntIn}$$

$$\int^{\mathbf{H}} \mathbf{Id} = 0, \tag{HIntId}$$

$$\forall_f (\int^{\mathbf{H}} f \in I_{0,0}).$$
 (HIntBound)